INITIAL
End Date: 8/8/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/9/2022
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Daily Segregation - Cover Page

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or
  b. Minimum percentage of customer segregated funds required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of customer segregated funds required between: % and %.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or
  b. Minimum percentage of customer secured funds required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of customer secured funds required between: % and %.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or
  b. Minimum percentage of cleared swaps customer collateral required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents CH
**INITIAL**

**End Date:** 8/8/2022  
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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**  
   a. **Cash:** 39,604,147 [7315]  
   b. **Securities (at market):** 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade:** 1,340,198 [7325]

3. **Exchange traded options**  
   a. **Market value of open option contracts purchased on a foreign board of trade:** 5,296 [7335]  
   b. **Market value of open contracts granted (sold) on a foreign board of trade:** -2,467 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**  
   40,947,174 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  
   715 [7351]  
   Less: amount offset by customer owned securities: 0 [7352] 715 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**  
   40,947,889 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  
   40,947,889 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**  
   a. **Banks located in the United States:** 18,017,698 [7500]  
   b. **Other banks qualified under Regulation 30.7:** 2,754,605 [7520] 20,772,303 [7530]

2. **Securities**  
   a. **In safekeeping with banks located in the United States:** 0 [7540]  
   b. **In safekeeping with other banks qualified under Regulation 30.7:** 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**  
   a. **Cash:** 15,773,465 [7580]  
   b. **Securities:** 0 [7590]  
   c. **Unrealized gain (loss) on open futures contracts:** 0 [7600]  
   d. **Value of long option contracts:** 0 [7610]  
   e. **Value of short option contracts:** 0 [7615] 15,773,465 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**  
   a. **Cash:** 8,334,302 [7640]  
   b. **Securities:** 0 [7650]  
   c. **Amount due to (from) clearing organization - daily variation:** 0 [7660]  
   d. **Value of long option contracts:** 0 [7670]  
   e. **Value of short option contracts:** 0 [7675] 8,334,302 [7680]

5. **Amounts held by members of foreign boards of trade**  
   a. **Cash:** 1,196,657 [7700]  
   b. **Securities:** 0 [7710]  
   c. **Unrealized gain (loss) on open futures contracts:** 0 [7720]  
   d. **Value of long option contracts:** 5,296 [7730]  
   e. **Value of short option contracts:** -2,467 [7735] 1,196,657 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**  
   0 [7760]

7. **Segregated funds on hand**  
   0 [7765]

8. **Total funds in separate section 30.7 accounts**  
   46,076,727 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  
   5,128,838 [7780]

10. **Excess (deficiency) funds in separate section 30.7 accounts over (under) Management Target**  
    4,128,838 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**
   - **B. Securities (at market)**

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **783,502,960 [7030]**

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **3,685,072,302 [7040]**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities
   - **3,277,510 [7045]**
   - **-2,860,054 [7047]**
   - **417,456 [7050]**

6. **Amount required to be segregated (add lines 4 and 5)**
   - **3,685,489,758 [7060]**

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - A. **Cash**
   - B. Securities representing investments of customers' funds (at market)
   - C. Securities held for particular customers or option customers in lieu of cash (at market)

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. **Cash**
   - B. Securities representing investments of customers’ funds (at market)
   - C. Securities held for particular customers or option customers in lieu of cash (at market)

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **-44,796,998 [7130]**

10. **Exchange traded options**
    - A. Value of open long option contracts
    - B. Value of open short option contracts

11. **Net equities with other FCMs**
    - A. Net liquidating equity
    - B. Securities representing investments of customers’ funds (at market)
    - C. Securities held for particular customers or option customers in lieu of cash (at market)

12. **Segregated funds on hand**
    - **3,556,596 [7150]**

13. **Total amount in segregation (add lines 7 through 12)**
    - **3,712,932,344 [7180]**

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **27,442,586 [7190]**

15. **Management Target Amount for Excess funds in segregation**
    - **19,000,000 [7194]**

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**
    - **8,442,586 [7198]**
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Net ledger balance</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>0</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
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</tr>
<tr>
<td>2. Net unrealized profit (loss) in open cleared swaps</td>
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<tr>
<td>3. Cleared swaps options</td>
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</tr>
<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
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</tr>
<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
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<tr>
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<tr>
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</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
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<tr>
<td>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</td>
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<tr>
<td>Funds in Cleared Swaps Customer Segregated Accounts</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<tr>
<td>7. Deposited in cleared swaps customer segregated accounts at banks</td>
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</tr>
<tr>
<td>A. Cash</td>
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<td>12. Cleared swaps customer funds on hand</td>
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<td>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</td>
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</table>