WINJAMMER FILING

INITIAL
End Date: 8/9/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/10/2022
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Daily Segregation - Cover Page

Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0

c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0

c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0

c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: **0**

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash: **40,255,865**
   B. Securities (at market): **0**

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: **1,917,958**

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade: **7,807**
   b. Market value of open contracts granted (sold) on a foreign board of trade: **-1,378**

4. Net equity (deficit) (add lines 1. 2. and 3.): **42,180,252**

5. Account liquidating to a deficit and account with a debit balances - gross amount: **2,836**

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): **42,183,088**

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: **42,183,088**

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States: **18,443,772**
   B. Other banks qualified under Regulation 30.7: **2,761,635**

2. Securities
   A. In safekeeping with banks located in the United States: **0**
   B. In safekeeping with other banks qualified under Regulation 30.7: **0**

3. Equities with registered futures commission merchants
   A. Cash: **16,166,870**
   B. Securities: **0**
   C. Unrealized gain (loss) on open futures contracts: **0**
   D. Value of long option contracts: **0**
   E. Value of short option contracts: **16,166,870**

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash: **8,755,980**
   B. Securities: **0**
   C. Amount due to (from) clearing organization - daily variation: **0**
   D. Value of long option contracts: **0**
   E. Value of short option contracts: **8,755,980**

5. Amounts held by members of foreign boards of trade
   A. Cash: **1,292,481**
   B. Securities: **0**
   C. Unrealized gain (loss) on open futures contracts: **0**
   D. Value of long option contracts: **7,807**
   E. Value of short option contracts: **-1,378**

6. Amounts with other depositories designated by a foreign board of trade: **0**

7. Segregated funds on hand: **0**

8. Total funds in separate section 30.7 accounts: **47,427,167**

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): **5,244,079**

10. Management Target Amount for Excess funds in separate section 30.7 accounts: **1,000,000**

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: **4,244,079**
### Initial Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - A. Cash: 1,605,957,404 [7010]
   - B. Securities (at market): 205,115,431 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 809,361,292 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 5,220,484,082 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -4,113,183,568 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 3,727,734,641 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 3,818,883 [7045]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 3,728,181,095 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 971,412,253 [7070]
   - B. Securities representing investments of customers’ funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 475,351 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 567,203,901 [7100]
   - B. Securities representing investments of customers’ funds (at market): 871,772,578 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 201,083,484 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 33,487,257 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 5,220,484,082 [7132]
    - B. Value of open short option contracts: -4,113,183,568 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers’ funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**
    - 3,556,596 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 3,756,291,934 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 28,110,839 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess
    - 9,110,839 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]