WEDBUSH SECURITIES INC

Kevin Wirth
312-784-0475
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and%.

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0

| 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers |  |
| A. Cash | 42,330,931 [7315] |
| B. Securities (at market) | 0 [7317] |

| 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | 651,419 [7325] |

| 3. Exchange traded options |  |
| a. Market value of open option contracts purchased on a foreign board of trade | 4,613 [7335] |
| b. Market value of open contracts granted (sold) on a foreign board of trade | -8,389 [7337] |

| 4. Net equity (deficit) (add lines 1. 2. and 3.) | 42,978,574 [7345] |

| 5. Account liquidating to a deficit and account with a debit balances - gross amount | 18,582 [7351] |
| Less: amount offset by customer owned securities | 0 [7352] 18,582 [7354] |

| 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | 42,997,156 [7355] |

| 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | 42,997,156 [7360] |

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

| 1. Cash in banks |  |
| A. Banks located in the United States | 21,679,320 [7500] |
| B. Other banks qualified under Regulation 30.7 | 2,981,366 [7520] 24,660,686 [7530] |

| 2. Securities |  |
| A. In safekeeping with banks located in the United States | 0 [7540] |
| B. In safekeeping with other banks qualified under Regulation 30.7 | 0 [7560] 0 [7570] |

| 3. Equities with registered futures commission merchants |  |
| A. Cash | 16,453,424 [7580] |
| B. Securities | 0 [7590] |
| C. Unrealized gain (loss) on open futures contracts | 0 [7600] |
| D. Value of long option contracts | 0 [7610] |
| E. Value of short option contracts | 0 [7615] 16,453,424 [7620] |

| 4. Amounts held by clearing organizations of foreign boards of trade |  |
| A. Cash | 7,197,770 [7640] |
| B. Securities | 0 [7650] |
| C. Amount due to (from) clearing organization - daily variation | 0 [7660] |
| D. Value of long option contracts | 0 [7670] |
| E. Value of short option contracts | 0 [7675] 7,197,770 [7680] |

| 5. Amounts held by members of foreign boards of trade |  |
| A. Cash | 101,794 [7700] |
| B. Securities | 0 [7710] |
| C. Unrealized gain (loss) on open futures contracts | 0 [7720] |
| D. Value of long option contracts | 4,613 [7730] |
| E. Value of short option contracts | -8,389 [7735] 98,018 [7740] |

| 6. Amounts with other depositories designated by a foreign board of trade | 0 [7760] |

| 7. Segregated funds on hand | 0 [7765] |

| 8. Total funds in separate section 30.7 accounts | 48,409,898 [7770] |

| 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | 5,412,742 [7780] |

| 10. Management Target Amount for Excess funds in separate section 30.7 accounts | 1,000,000 [7780] |

| 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | 4,412,742 [7785] |
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: $2,351,584,134
   - B. Securities (at market): $203,861,102

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $1,226,582,468

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: $4,462,493,324
   - B. Deduct market value of open option contracts granted (sold) on a contract market: $3,758,994,717

4. **Net equity (deficit) (add lines 1, 2 and 3)**: $4,485,526,311

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: $5,157,782
   - Less: amount offset by customer securities: $4,655,073
   - Net amount: $502,709

6. **Amount required to be segregated (add lines 4 and 5)**: $4,486,029,020

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: $999,550,420
   - B. Securities representing investments of customers' funds (at market): $0
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $485,741

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: $1,589,831,126
   - B. Securities representing investments of customers' funds (at market): $1,021,166,564
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $199,881,588

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: $474,712

10. **Exchange traded options**
    - A. Value of open long option contracts: $4,462,493,324
    - B. Value of open short option contracts: $3,758,994,717

11. **Net equities with other FCMs**
    - A. Net liquidating equity: $0
    - B. Securities representing investments of customers' funds (at market): $0
    - C. Securities held for particular customers or option customers in lieu of cash (at market): $0

12. **Segregated funds on hand**: $3,493,773

13. **Total amount in segregation (add lines 7 through 12)**: $4,518,382,531

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $32,353,511

15. **Management Target Amount for Excess funds in segregation**: $19,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: $13,353,511
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS 
AND 
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash  0 [8500]
   B. Securities (at market)  0 [8510]
2. Net unrealized profit (loss) in open cleared swaps  0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased  0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold)  0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3)  0 [8550]
5. Accounts liquidating to a deficit and accounts with
   debit balances - gross amount  0 [8560]
   Less: amount offset by customer owned securities  0 [8570]  0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)  0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash  0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash  0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8650]
9. Net settlement from (to) derivatives clearing organizations  0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts  0 [8670]
    B. Value of open cleared swaps short option contracts  0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity  0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash  0
    B. Securities representing investments of cleared swaps customers' funds (at market)  0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)  0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from
    line 13)  0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts  0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management  0 [8770]