NAME OF COMPANY: WEDBUSH SECURITIES INC

CONTACT NAME: Kevin Wirth

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or $19,000,000

b. Minimum percentage of customer segregated funds required: % ; or 0%

c. Dollar amount range between: and ; or 0 0

d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or $1,000,000

b. Minimum percentage of customer secured funds required: % ; or 0%

c. Dollar amount range between: and ; or 0 0

d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 0

b. Minimum percentage of cleared swaps customer collateral required: % ; or 0%

c. Dollar amount range between: and ; or 0 0

d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents CH
INITIAL
End Date: 8/25/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/26/2022

**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 37,273,018 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 2,611,173 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 5,062 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade -3,708 [7337]

4. Net equity (deficit) (add lines 1, 2, and 3) 39,885,545 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 729 [7351]
   Less: amount offset by customer owned securities 0 [7352] 729 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 39,886,274 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6 39,886,274 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   A. Banks located in the United States 15,696,188 [7500]
   B. Other banks qualified under Regulation 30.7 3,004,418 [7520] 18,700,606 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 15,493,410 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 15,493,410 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 7,739,758 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 7,739,758 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 3,418,294 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 5,062 [7730]
   E. Value of short option contracts -3,708 [7735] 3,419,648 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 45,353,422 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 5,467,148 [7380]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,467,148 [7785]
**Daily Segregation - Segregation Statement**

SEgregation Requirements (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash
   \[2,338,204,488\]  
   B. Securities (at market)
   \[204,764,368\]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   \[1,350,081,033\]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market
   \[4,720,807,188\]
   B. Deduct market value of open option contracts granted (sold) on a contract market
   \[-3,898,583,296\]

4. Net equity (deficit) (add lines 1, 2 and 3)
   \[4,715,273,781\]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   \[4,075,904\]
   Less: amount offset by customer securities
   \[-3,631,028\]
   \[444,876\]

6. Amount required to be segregated (add lines 4 and 5)
   \[4,715,718,657\]

Funds in segregated accounts

7. Deposited in segregated funds bank accounts
   A. Cash
   \[1,068,734,877\]
   B. Securities representing investments of customers’ funds (at market)
   \[0\]
   C. Securities held for particular customers or option customers in lieu of cash (at market)
   \[475,674\]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash
   \[1,401,866,340\]
   B. Securities representing investments of customers’ funds (at market)
   \[1,195,636,118\]
   C. Securities held for particular customers or option customers in lieu of cash (at market)
   \[200,931,235\]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   \[54,720,406\]

10. Exchange traded options
    A. Value of open long option contracts
    \[4,720,807,188\]
    B. Value of open short option contracts
    \[-3,898,583,296\]

11. Net equities with other FCMs
    A. Net liquidating equity
    \[0\]
    B. Securities representing investments of customers’ funds (at market)
    \[0\]
    C. Securities held for particular customers or option customers in lieu of cash (at market)
    \[0\]

12. Segregated funds on hand
    \[3,357,459\]

13. Total amount in segregation (add lines 7 through 12)
    \[4,747,946,001\]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    \[32,227,344\]

15. Management Target Amount for Excess funds in segregation
    \[19,000,000\]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess
    \[13,227,344\]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 9 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]