

OMB Approval

OMB Number: 3235-0123,  
3235-0749  
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0123) 16.00 (3235-0749)

This report is being filed by a/an:

1) Broker-dealer not registered as an SBSD or MSBSP (stand-alone broker-dealer) .....  12000  
 2) Broker-dealer registered as an SBSD (broker-dealer SBSD) .....  12001  
 3) Broker-dealer registered as an MSBSP (broker-dealer MSBSP) .....  12002  
 4) SBSD without a prudential regulator and not registered as a broker-dealer (stand-alone SBSD) .....  12003  
 5) MSBSP without a prudential regulator and not registered as a broker-dealer (stand-alone MSBSP) .....  12004  
 Check here if respondent is an OTC derivatives dealer .....  12005

This report is being filed by a: Firm authorized to use models  12006 U.S. person  12007 Non-U.S. person  12008

This report is being filed pursuant to (check applicable block(s)):

1) Rule 17a-5(a) .....  16  
 2) Rule 17a-5(b) .....  17  
 3) Special request by DEA or the Commission .....  19  
 4) Rule 18a-7 .....  12999  
 5) Other (explain: \_\_\_\_\_) .....  26

NAME OF REPORTING ENTITY	SEC FILE NO.
WEBBUSH SECURITIES INC.	8-12987
ADDRESS OF PRINCIPAL PLACE OF BUSINESS (Do not use P.O. Box No.)	14
1000 WILSHIRE BLVD. SUITE 900, ATTN: COMPLIANCE (No. and Street)	877
LOS ANGELES (City)	07/01/22
CA (State/Province)	07/31/22
90017-2457 (Zip Code)	24
UNITED STATES (Country)	25
FOR PERIOD BEGINNING (MM/DD/YY)	
AND ENDING (MM/DD/YY)	

NAME OF PERSON TO CONTACT IN REGARD TO THIS REPORT	EMAIL ADDRESS	(AREA CODE) TELEPHONE NO.
DANIEL BILLINGS, CFO	30 DAN.BILLINGS@WEBBUSH.COM	12010 (213) 688-8000
NAME(S) OF SUBSIDIARIES OR AFFILIATES CONSOLIDATED IN THIS REPORT		31
		OFFICIAL USE

32	33
34	35
36	37
38	39

Is this report consolidated or unconsolidated? ..... Consolidated  198 Unconsolidated  199

Does respondent carry its own customer or security-based swap customer accounts? ..... Yes  40 No  41

Check here if respondent is filing an audited report .....  42

**EXECUTION:** The registrant submitting this Form and its attachments and the person(s) by whom it is executed represent hereby that all information contained therein is true, correct and complete. It is understood that all required items, statements, and schedules are considered integral parts of this Form and that the submission of any amendment represents that all unamended items, statements, and schedules remain true, correct and complete as previously submitted.

Dated the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

Signatures of:

1) \_\_\_\_\_ Principal Executive Officer or Comparable Officer  12011  
 2) \_\_\_\_\_ Principal Financial Officer or Comparable Officer  12012  
 3) \_\_\_\_\_ Principal Operations Officer or Comparable Officer  12013  
 Names of:  
 Gary Webbush  
 Principal Executive Officer or Comparable Officer  
 Daniel Billings  
 Principal Financial Officer or Comparable Officer  
 Principal Operations Officer or Comparable Officer

ATTENTION: Intentional misstatements and/or omissions of facts constitute federal criminal violations. (See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).)

Name of Firm: WEBBUSH SECURITIES INC.

Persons who are to respond to the collection of information contained in this form are not

As of: 07/31/22

required to respond unless the form displays a currently valid OMB control number

Items on this page to be reported by a:

- Stand-Alone Broker-Dealer
- Stand-Alone SBSD
- Broker-Dealer SBSD
- Stand-Alone MSBSP
- Broker-Dealer MSBSP

ASSETSAssets

	<u>Allowable</u>	<u>Non-Allowable</u>	<u>Total</u>
1. Cash .....	\$ 38,517,337	200	\$ 38,517,337
2. Cash segregated in compliance with federal and other regulations .....	\$ 2,639,291,937	210	\$ 2,639,291,937
3. Receivables from brokers/dealers and clearing organizations organizations			
A. Failed to deliver			
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a .....	\$ 4,765,579	220	
2. Other.....	\$ 36,485,456	230	\$ 41,251,035
B. Securities borrowed			
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a .....	\$ 257,493,070	240	
2. Other.....	\$ 2,310,853,603	250	\$ 2,568,346,673
C. Omnibus accounts			
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a .....	\$ 260		
2. Other.....	\$ 15,068,093	270	\$ 15,068,093
D. Clearing organizations			
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a, or the CEA ..	\$ 11,419,616	280	
2. Other.....	\$ 1,057,039,079	290	\$ 1,068,458,695
E. Other.....	\$ 300	367,824	\$ 367,824
4. Receivables from customers			
A. Securities accounts			
1. Cash and fully secured accounts .....	\$ 473,381,524	310	
2. Partly secured accounts.....	\$ 7,698,117	320	\$ 871
3. Unsecured accounts .....			\$ 6,010,540
B. Commodity accounts.....	\$ 3,941,467	330	\$ 524,867
C. Allowance for doubtful accounts.....	\$( 335)	\$ 1,274,927	\$ 590
			\$ 490,282,459
5. Receivables from non-customers			
A. Cash and fully secured accounts.....	\$ 131,777,008	340	
B. Partly secured and unsecured accounts.....	\$ 350	\$ 363,171	\$ 132,140,179
6. Excess cash collateral pledged on derivative transactions	\$ 12015	\$ 12016	\$ 12017
7. Securities purchased under agreements to resell .....	\$ 535,179,361	360	\$ 605
8. Trade date receivable .....	\$ 292		\$ 802
9. Total net securities, commodities, and swaps positions	\$ 833,828,897	12019	\$ 1,601,355
			\$ 835,430,252
10. Securities borrowed under subordination			
agreements and partners' individual and capital securities accounts, at market value			
A. Exempted securities \$ 150			
B. Other \$ 160	\$ 460	\$ 630	\$ 880
11. Secured demand notes – market value of collateral			
A. Exempted securities \$ 170			
B. Other \$ 180	\$ 470	\$ 640	\$ 890

Name of Firm: WEBBUSH SECURITIES INC.As of: 07/31/22

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Report  
Part II

Items on this page to be reported by a:

Stand-Alone Broker-Dealer  
 Stand-Alone SBSD  
 Broker-Dealer SBSD  
 Stand-Alone MSBSP  
 Broker-Dealer MSBSP

<u>Assets</u>	<u>Allowable</u>	<u>Non-Allowable</u>	<u>Total</u>
12. Memberships in exchanges:			
A. Owned, at market value\$ _____ <b>190</b>			
B. Owned at cost .....	\$ _____ <b>7,459,622</b>	<b>650</b>	
C. Contributed for use of company, at market value	\$ _____ <b>660</b>		\$ _____ <b>7,459,622</b> <b>900</b>
13. Investment in and receivables from affiliates, subsidiaries and associated partnerships .....	\$ _____ <b>480</b>	\$ _____ <b>6,815,307</b>	<b>670</b> \$ _____ <b>6,815,307</b> <b>910</b>
14. Property, furniture, equipment, leasehold improvements and rights under lease agreements			
At cost (net of accumulated depreciation and amortization). ....	\$ _____ <b>28,266,566</b>	<b>490</b>	\$ _____ <b>845,193</b>
			<b>680</b> \$ _____ <b>29,111,759</b> <b>920</b>
15. Other assets			
A. Dividends and interest receivable.....	\$ _____ <b>15,392,157</b>	<b>500</b>	\$ _____ <b>1,184,804</b>
B. Free shipments .....	\$ _____ <b>510</b>		\$ _____ <b>700</b>
C. Loans and advances.....	\$ _____ <b>520</b>		\$ _____ <b>710</b>
D. Miscellaneous.....	\$ _____ <b>15,065,750</b>	<b>530</b>	\$ _____ <b>41,165,809</b>
E. Collateral accepted under ASC 860 .....	\$ _____ <b>536</b>		
F. SPE Assets.....	\$ _____ <b>537</b>		\$ _____ <b>72,808,520</b>
16. TOTAL ASSETS .....	\$ _____ <b>8,415,464,617</b>	<b>540</b>	\$ _____ <b>65,064,436</b>
			<b>740</b> \$ _____ <b>8,480,529,053</b> <b>940</b>

Note: Stand-alone MSBSPs should only complete the Allowable and Total columns.

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Part II

Items on this page to be reported by a: Stand-Alone Broker-Dealer  
Stand-Alone SBSD  
Broker-Dealer SBSD  
Stand-Alone MSBSP  
Broker-Dealer MSBSP

### LIABILITIES AND OWNERSHIP EQUITY

#### Liabilities

17. Bank loans payable:

A. Includable in segregation requirement under

17 CFR 240.15c3-3 and its appendices or

17 CFR 240.18a-4 and 18a-4a, or the CEA . . . . .

B. Other . . . . .

#### A.I. Liabilities

#### Non-A.I. Liabilities

#### Total

\$ _____	1030	\$ _____	1240	\$ _____	1460
\$ _____	1040	\$ _____	1250	\$ _____	1470

18. Securities sold under repurchase agreements. . . . .

19. Payable to brokers/dealers and clearing organizations

A. Failed to receive:

1. Includable in segregation requirement under

17 CFR 240.15c3-3 and its appendices or

17 CFR 240.18a-4 and 18a-4a . . . . .

2. Other . . . . .

#### A.I. Liabilities

#### Non-A.I. Liabilities

#### Total

\$ _____	1050	\$ _____	1270	\$ 1,339,515	1490
\$ _____	1060	\$ _____	1280	\$ 16,214,668	1500

B. Securities loaned

1. Includable in segregation requirement under

17 CFR 240.15c3-3 and its appendices or

17 CFR 240.18a-4 and 18a-4a . . . . .

2. Other . . . . .

#### A.I. Liabilities

#### Non-A.I. Liabilities

#### Total

\$ _____	1070	\$ _____	1290	\$ 63,745,135	1510
\$ _____	1080	\$ _____	1290	\$ 2,366,737,544	1520

C. Omnibus accounts

1. Includable in segregation requirement under

17 CFR 240.15c3-3 and its appendices or

17 CFR 240.18a-4 and 18a-4a . . . . .

2. Other . . . . .

#### A.I. Liabilities

#### Non-A.I. Liabilities

#### Total

\$ _____	1090	\$ _____	1300	\$ _____	1530
\$ _____	1095	\$ _____	1300	\$ _____	1540

D. Clearing organizations

1. Includable in segregation requirement under

17 CFR 240.15c3-3 and its appendices or

17 CFR 240.18a-4 and 18a-4a, or the CEA . . . . .

2. Other . . . . .

#### A.I. Liabilities

#### Non-A.I. Liabilities

#### Total

\$ _____	1100	\$ _____	1310	\$ 3,682,784	1550
\$ _____	1105	\$ _____	1310	\$ 59,372,418	1560

E. Other . . . . .

#### A.I. Liabilities

#### Non-A.I. Liabilities

#### Total

\$ _____	1110	\$ _____	1320	\$ 1,064,871	1570
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20. Payable to customers:

A. Securities accounts - including free credits

of . . . . . \$ 1,914,661,291 950 \$ \_\_\_\_\_ 1120 \$ \_\_\_\_\_ 2,155,940,527 1580

B. Commodities accounts . . . . . \$ \_\_\_\_\_ 1130 \$ \_\_\_\_\_ 1330 \$ \_\_\_\_\_ 2,655,934,057 1590

21. Payable to non customers:

A. Securities accounts . . . . . \$ \_\_\_\_\_ 1140 \$ \_\_\_\_\_ 1340 \$ \_\_\_\_\_ 104,371,517 1600

B. Commodities accounts . . . . . \$ \_\_\_\_\_ 1150 \$ \_\_\_\_\_ 1350 \$ \_\_\_\_\_ 158,760 1610

22. Excess cash collateral received on derivative

transactions . . . . . \$ \_\_\_\_\_ 12025 \$ \_\_\_\_\_ 12026 \$ \_\_\_\_\_ 12027

23. Trade date payable . . . . . \$ \_\_\_\_\_ 12031 \$ \_\_\_\_\_ 12037 \$ \_\_\_\_\_ 17,434,595 1562

24. Total net securities, commodities, and swaps positions \$ \_\_\_\_\_ 12032 \$ \_\_\_\_\_ 12038 \$ \_\_\_\_\_ 19,075,307 12044

25. Accounts payable and accrued liabilities and expenses

A. Drafts payable . . . . . \$ \_\_\_\_\_ 1160 \$ \_\_\_\_\_ 3,358,327 1630

B. Accounts payable . . . . . \$ \_\_\_\_\_ 1170 \$ \_\_\_\_\_ 32,769,018 1640

C. Income taxes payable . . . . . \$ \_\_\_\_\_ 1180 \$ \_\_\_\_\_ 1,909,395 1650

D. Deferred income taxes . . . . . \$ \_\_\_\_\_ 1370 \$ \_\_\_\_\_ 1660

E. Accrued expenses and other liabilities . . . . . \$ \_\_\_\_\_ 1190 \$ \_\_\_\_\_ 91,113,092 1670

F. Other . . . . . \$ \_\_\_\_\_ 1200 \$ \_\_\_\_\_ 1380 \$ \_\_\_\_\_ 32,756,986 1680

G. Obligation to return securities . . . . . \$ \_\_\_\_\_ 12033 \$ \_\_\_\_\_ 1386 \$ \_\_\_\_\_ 1686

H. SPE Liabilities . . . . . \$ \_\_\_\_\_ 12045 \$ \_\_\_\_\_ 1387 \$ \_\_\_\_\_ 1687

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 Stand-Alone Broker-Dealer  
 Stand-Alone SBSD  
 Broker-Dealer SBSD  
 Stand-Alone MSBSP  
 Broker-Dealer MSBSP

## 26. Notes and mortgages payable

A. Unsecured .....	\$ _____	1210	\$ _____	1690		
B. Secured .....	\$ _____	1211	\$ _____	1390	\$ _____	1700

Liabilities

## 27. Liabilities subordinated to claims of

		<u>A.I. Liabilities</u>	<u>Non-A.I. Liabilities</u>	<u>Total</u>			
A. Cash borrowings .....		\$ _____	1400	\$ _____ 45,000,000 1710			
1. From outsiders \$ _____	970						
2. Includes equity subordination (Rule 15c3-1(d) or Rule 18a-1(g)) of .....	\$ _____	980					
B. Securities borrowings, at market value .....		\$ _____	1410	\$ _____ 1720			
1. From outsiders \$ _____	990						
C. Pursuant to secured demand note collateral agreements .....		\$ _____	1420	\$ _____ 1730			
1. From outsiders \$ _____	1000						
2. Includes equity subordination (Rule 15c3-1(d) or Rule 18a-1(g)) of .....	\$ _____	1010					
D. Exchange memberships contributed for use of company, at market value .....		\$ _____	1430	\$ _____ 1740			
E. Accounts and other borrowings not qualified for net capital purposes .....		\$ _____	1220	\$ _____	1440	\$ _____	1750
<b>28. TOTAL LIABILITIES .....</b>		\$ _____	1230	\$ _____	1450	\$ _____ 8,164,981,962	1760

Ownership Equity

29. Sole proprietorship .....		\$ _____		1770
30. Partnership and limited liability company – including limited partners/members .....		\$ _____	1020	\$ _____ 1780
<b>31. Corporation</b>				
A. Preferred stock .....		\$ _____		1791
B. Common stock .....		\$ _____	700,000	1792
C. Additional paid in capital .....		\$ _____	19,825,264	1793
D. Retained Earnings .....		\$ _____	295,021,827	1794
E. Accumulated other comprehensive income .....		\$ _____		1797
F. Total .....		\$ _____		315,547,091 1795
G. Less capital stock in treasury .....		\$ ( _____ )		1796
<b>32. TOTAL OWNERSHIP EQUITY (sum of Line Items 1770, 1780, 1795, and 1796)</b> .....		\$ _____		315,547,091 1800
<b>33. TOTAL LIABILITIES AND OWNERSHIP EQUITY (sum of Line Items 1760 and 1800)</b> .....		\$ _____		8,480,529,053 1810

Items on this page to be reported by a: Stand-Alone Broker-Dealer (Not Authorized to use models)  
 Stand-Alone SBSD (Not Authorized to use models)  
 Broker-Dealer SBSD (Not Authorized to use models)  
 Broker-Dealer MSBSP (Not Authorized to use models)

### Computation of Net Capital

1. Total ownership equity from Item 1800 .....	\$ 315,547,091	3480
2. Deduct ownership equity not allowable for net capital .....	\$ ( )	3490
3. Total ownership equity qualified for net capital .....	\$ 315,547,091	3500
4. Add:		
A. Liabilities subordinated to claims of creditors allowable in computation of net capital .....	\$ 45,000,000	3520
B. Other (deductions) or allowable credits (list) .....	\$	3525
5. Total capital and allowable subordinated liabilities .....	\$ 360,547,091	3530
6. Deductions and/or charges		
A. Total nonallowable assets from Statement of Financial Condition .....	\$ 65,064,436	3540
1. Additional charges for customers' and non-customers' security accounts \$	3550	
2. Additional charges for customers' and non-customers' commodity accounts .....	\$	3560
3. Additional charges for customers' and non-customers' security-based swap accounts .....	\$	12051
4. Additional charges for customers' and non-customers' swap accounts \$	12052	
B. Aged fail-to-deliver .....	\$ 848,770	3570
1. number of items .....	308	3450
C. Aged short security differences-less		
reserve of .....	\$ 3460	3580
number of items .....	3470	
D. Secured demand note deficiency .....	\$	3590
E. Commodity futures contracts and spot commodities -	\$	
proprietary capital charges .....	72,000	3600
F. Other deductions and/or charges .....	\$ 18,939,233	3610
G. Deductions for accounts carried under Rules 15c3-1(a)(6) and (c)(2)(x) \$	3615	
H. Total deductions and/or charges .....	\$ ( 84,924,439)	3620
7. Other additions and/or allowable credits (list) .....	\$	3630
8. Tentative net capital (net capital before haircuts) .....	\$ 275,622,652	3640
9. Haircuts on securities other than security-based swaps		
A. Contractual securities commitments .....	\$	3660
B. Subordinated securities borrowings .....	\$	3670
C. Trading and investment securities	\$	
1. Bankers' acceptances, certificates of deposit, commercial paper, and money market instruments .....	\$	3680
2. U.S. and Canadian government obligations .....	\$ 802,696	3690
3. State and municipal government obligations .....	\$ 2,573,935	3700
4. Corporate obligations .....	\$ 26,228	3710
5. Stocks and warrants .....	\$ 3,242,919	3720
6. Options .....	\$ 562,190	3730
7. Arbitrage .....	\$	3732
8. Risk-based haircuts computed under 17 CFR 240.15c3-1a or 17 CFR 240.18a-1a .....	\$	12028
9. Other securities .....	\$	3734
D. Undue concentration .....	\$	3650
E. Other (List: _____) .....	\$ 151,619	3736
10. Haircuts on security-based swaps .....	\$	12053
11. Haircuts on swaps .....	\$	12054
12. Total haircuts (sum of Lines 9A-9E, 10, and 11) .....	\$ ( 7,359,587)	3740
13. Net capital (Line 8 minus Line 12) .....	\$ 268,263,065	3750

Name of Firm: WEBBUSH SECURITIES INC.As of: 07/31/22

## Calculation of Excess Tentative Net Capital (If Applicable)

1. Tentative net capital .....	\$ 275,622,652	3640
2. Minimum tentative net capital requirement .....	\$ _____	12055
3. Excess tentative net capital (difference between Lines 1 and 2) .....	\$ 275,622,652	12056
4. Tentative net capital in excess of 120% of minimum tentative net capital requirement reported on Line 2	\$ _____	0 12057

## Calculation of Minimum Net Capital Requirement

5. Ratio minimum net capital requirement		
A. 62/3% of total aggregate indebtedness (Line Item 3840) .....	\$ _____	3756
B. 2% of aggregate debit items as shown in the Formula for Reserve Requirements pursuant to Rule 15c3-3 \$ _____	102,918,494	3870
i. Minimum CFTC net capital requirement (if applicable) .....	\$ 102,918,494	7490
C. Percentage of risk margin amount computed under 17 CFR 240.15c3-1(a)(7)(i) or (a)(10) .....	\$ _____	12058
D. For broker-dealers engaged in reverse repurchase agreements, 10% of the amounts in 17 CFR 240.15c3-1(a)(9)(i)-(iii) \$ _____	35,330	12059
E. Minimum ratio requirement (sum of Lines 5A, 5B, 5C, and/or 5D, as applicable) .....	\$ 102,953,824	12060
6. Fixed-dollar minimum net capital requirement .....	\$ 1,000,000	3880
7. Minimum net capital requirement (greater of Lines 5E and 6) .....	\$ 102,953,824	3760
8. Excess net capital (Item 3750 minus Item 3760) .....	\$ 165,309,241	3910
9. Net capital and tentative net capital in relation to early warning thresholds		
A. Net capital in excess of 120% of minimum net capital requirement reported on Line 7 .....	\$ 144,718,476	12061
B. Net capital in excess of 5% of combined aggregate debit items as shown in the Formula for Reserve Requirements pursuant to Rule 15c3-3. ....	\$ 228,647,466	3920

## Computation of Aggregate Indebtedness (If Applicable)

10. Total aggregate indebtedness liabilities from Statement of Financial Condition (Item 1230) .....	\$ _____	3790
11. Add		
A. Drafts for immediate credit .....	\$ _____	3800
B. Market value of securities borrowed for which no equivalent value is paid or credited .....	\$ _____	3810
C. Other unrecorded amounts (list) .....	\$ _____	3820
D. Total additions (sum of Line Items 3800, 3810, and 3820) .....	\$ _____	3830
12. Deduct: Adjustment based on deposits in Special Reserve Bank Accounts (see Rule 15c3-1(c)(1)(vii))	\$ _____	3838
13. Total aggregate indebtedness (sum of Line Items 3790 and 3830) .....	\$ _____	3840
14. Percentage of aggregate indebtedness to net capital (Item 3840 divided by Item 3750) .....	% _____	3850
15. Percentage of aggregate indebtedness to net capital <u>after</u> anticipated capital withdrawals (Item 3840 divided by Item 3750 less Item 4880) .....	% _____	3853

## Calculation of Other Ratios

16. Percentage of net capital to aggregate debits (Item 3750 divided by Item 4470) .....	% _____	33.86	3851
17. Percentage of net capital, <u>after</u> anticipated capital withdrawals, to aggregate debits (Item 3750 less Item 4880, divided by Item 4470) .....	% _____	33.86	3854
18. Percentage of debt to debt-to-equity total, computed in accordance with Rule 15c3-1(d) .....	% _____	12.48	3860
19. Options deductions/net capital ratio (1000% test) total deductions exclusive of liquidating equity under Rule 15c3-1(a)(6) and (c)(2)(x) divided by net capital .....	% _____		3852

Items on this page to be reported by: Futures Commission Merchant

**NET CAPITAL REQUIRED****A. Risk-Based Requirement****i. Amount of Customer Risk**Maintenance Margin ..... \$ 1,286,219,623 **7415**ii. Enter 8% of line A.i ..... \$ 102,897,570 **7425****iii. Amount of Non-Customer Risk**Maintenance Margin ..... \$ 261,548 **7435**iv. Enter 8% of line A.iii ..... \$ 20,924 **7445**v. Amount of uncleared swap margin ..... \$ **7446**vi. If the FCM is also registered as a swap dealer, enter 2% of Line A.v ..... \$ **7447**vii. Enter the sum of Lines A.ii, A.iv and A.vi. ..... \$ 102,918,494 **7455****B. Minimum Dollar Amount Requirement** .....\$ 1,000,000 **7465****C. Other NFA Requirement** .....\$ **7475****D. Minimum CFTC Net Capital Requirement.**Enter the greatest of lines A.vii., B or C ..... \$ 102,918,494 **7490**

Note: If amount on Line D is greater than the minimum net capital requirement computed on Item 3760, then enter this greater amount on Item 3760.

The greater of the amount required by the SEC or CFTC is the minimum net capital requirement.

CFTC early warning level – enter the greatest of 110% of Line A.vii. or 150% of Line B or 150% of Line C

... \$ 113,210,343 **7495**

Items on this page to be reported by: Futures Commission Merchant

## SEGREGATION REQUIREMENTS

1. Net ledger balance	
A. Cash	\$ 1,771,855,801 7010
B. Securities (at market)	\$ 192,093,972 7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market	\$ 841,637,214 7030
3. Exchange traded options	
A. Add market value of open option contracts purchased on a contract market	\$ 5,372,028,049 7032
B. Deduct market value of open option contracts granted (sold) on a contract market	\$ ( 4,145,426,290) 7033
4. Net equity (deficit) (total of Lines 1, 2, and 3)	\$ 4,032,188,746 7040
5. Accounts liquidating to a deficit and accounts with debit balances	
- gross amount	\$ 2,710,817 7045
Less: amount offset by customer owned securities	\$ ( 2,244,862) 7047 \$ 465,955 7050
6. Amount required to be segregated (add lines 4 and 5)	\$ 4,032,654,701 7060

## FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts	
A. Cash	\$ 1,028,686,106 7070
B. Securities representing investments of customers' funds (at market)	\$ 7080
C. Securities held for particular customers or option customers in lieu of cash (at market)	\$ 5,574,954 7090
8. Margins on deposit with derivatives clearing organizations of contract markets	
A. Cash	\$ 890,872,218 7100
B. Securities representing investments of customers' funds (at market)	\$ 772,035,464 7110
C. Securities held for particular customers or option customers in lieu of cash (at market)	\$ 182,833,617 7120
9. Net settlement from (to) derivatives clearing organizations of contract markets	\$ (47,445,479) 7130
10. Exchange traded options	
A. Value of open long option contracts	\$ 5,372,028,049 7132
B. Value of open short option contracts	\$ ( 4,145,426,290) 7133
11. Net equities with other FCMs	
A. Net liquidating equity	\$ 7140
B. Securities representing investments of customers' funds (at market)	\$ 7160
C. Securities held for particular customers or option customers in lieu of cash (at market)	\$ 7170
12. Segregated funds on hand (describe: _____)	\$ 3,685,401 7150
13. Total amount in segregation (add lines 7 through 12)	\$ 4,062,844,040 7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$ 30,189,339 7190
15. Management Target Amount for Excess funds in segregation	\$ 19,000,000 7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$ 11,189,339 7198

Name of Firm: WEDBUSH SECURITIES INC.As of: 07/31/22

Items on this page to be reported by: Futures Commission Merchant

**CLEARED SWAPS CUSTOMER REQUIREMENTS**

1. Net ledger balance	
A. Cash	\$ 8500
B. Securities (at market)	\$ 8510
2. Net unrealized profit (loss) in open cleared swaps	\$ 8520
3. Cleared swaps options	
A. Market value of open cleared swaps option contracts purchased	\$ 8530
B. Market value of open cleared swaps option contracts granted (sold)	\$ (8540)
4. Net equity (deficit) (add lines 1, 2 and 3)	\$ 8550
5. Accounts liquidating to a deficit and accounts with debit balances	
- gross amount	\$ 8560
Less: amount offset by customer owned securities	\$ (8570) \$ 8580
6. Amount required to be segregated for cleared swaps customers (add Lines 4 and 5)	\$ 8590

**FUNDS IN CLEARED SWAPS CUSTOMER SEGREGATED ACCOUNTS**

7. Deposited in cleared swaps customer segregated accounts at banks	
A. Cash	\$ 8600
B. Securities representing investment of cleared swaps customers' funds (at market)	\$ 8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	\$ 8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts	
A. Cash	\$ 8630
B. Securities representing investment of cleared swaps customers' funds (at market)	\$ 8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	\$ 8650
9. Net settlement from (to) derivatives clearing organizations	\$ 8660
10. Cleared swaps options	
A. Value of open cleared swaps long option contracts	\$ 8670
B. Value of open cleared swaps short option contracts	\$ (8680)
11. Net equities with other FCMs	
A. Net liquidating equity	\$ 8690
B. Securities representing investment of cleared swaps customers' funds (at market)	\$ 8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	\$ 8710
12. Cleared swaps customer funds on hand (describe: _____)	\$ 8715
13. Total amount in cleared swaps customer segregation (add Lines 7 through 12)	\$ 8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract Line 6 from Line 13)	\$ 8730
15. Management target amount for excess funds in cleared swaps segregated accounts	\$ 8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess	\$ 8770

Items on this page to be reported by a: Futures Commission Merchant

1. Amount required to be segregated in accordance with 17 CFR 32.6	\$	7200
2. Funds/property in segregated accounts		
A. Cash	\$	7210
B. Securities (at market value)	\$	7220
C. Total funds/property in segregated accounts	\$	7230
3. Excess (deficiency) funds in segregation (subtract Line 2C from Line 1)	\$	7240

Name of Firm: WEBBUSH SECURITIES INC.

As of: 07/31/22

Items on this page to be reported by a: Futures Commission Merchant

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder .....	\$	7305
1. Net ledger balance - Foreign futures and foreign option trading - All Customers		
A. Cash .....	\$	34,805,417 7315
B. Securities (at market) .....	\$	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade .....	\$	3,169,290 7325
3. Exchange traded options		
A. Market value of open option contracts purchased on a foreign board of trade .....	\$	10,187 7335
B. Market value of open contracts granted (sold) on a foreign board of trade .....	\$	(2,044) 7337
4. Net equity (deficit)(add lines 1. 2. and 3.) .....	\$	37,982,850 7345
5. Accounts liquidating to a deficit and accounts with		
debit balances - gross amount .....	\$	71,058 7351
Less: amount offset by customer owned securities .....	\$	(7352) \$ 71,058 7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) .....	\$	38,053,908 7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. ....	\$	38,053,908 7360

Name of Firm: WEBBUSH SECURITIES INC.As of: 07/31/22

Items on this page to be reported by: Futures Commission Merchant

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

## 1. Cash in banks

A. Banks located in the United States .....	\$ 12,732,206	7500
B. Other banks qualified under 17 CFR. 30.7		

Name(s):	7510	\$ 5,255,657	7520	\$ 17,987,863	7530
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## 2. Securities

A. In safekeeping with banks located in the United States .....	\$ 7540
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B. In safekeeping with other banks designated by 17 CFR. 30.7	
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Name(s):	7550	\$ 7560	\$ 7570
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## 3. Equities with registered futures commission merchants

A. Cash .....	\$ 16,693,375	7580		
B. Securities .....	\$ 7590			
C. Unrealized gain (loss) on open futures contracts .....	\$ (3,360,250)	7600		
D. Value of long option contracts .....	\$ 10,187	7610		
E. Value of short option contracts .....	\$ (2,044)	7615	\$ 13,341,268	7620

## 4. Amounts held by clearing organizations of foreign boards of trade

Name(s):	7630			
A. Cash .....	\$ 10,734,216	7640		
B. Securities .....	\$ 7650			
C. Amount due to (from) clearing organizations - daily variation .....	\$ 72,650	7660		
D. Value of long option contracts .....	\$ 7670			
E. Value of short option contracts .....	\$ ( )	7675	\$ 10,806,866	7680

## 5. Amounts held by members of foreign boards of trade

Name(s):	7690			
A. Cash .....	\$ 1,907,896	7700		
B. Securities .....	\$ 7710			
C. Unrealized gain (loss) on open futures contracts .....	\$ (186,876)	7720		
D. Value of long option contracts .....	\$ 7730			
E. Value of short option contracts .....	\$ ( )	7735	\$ 1,721,020	7740

## 6. Amounts with other depositories designated by a foreign board of trade

Name(s):	7750	\$ 7760
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7. Segregated funds on hand (describe: ) .....	\$ 7765
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8. Total funds in separate 17 CFR 30.7 accounts .....	\$ 43,857,017	7770
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## 9. Excess (deficiency) set aside funds for secured amount

(Line Item 7770 minus Line Item 7360) .....	\$ 5,803,109	7380
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10. Management target amount for excess funds in separate 17 CFR 30.7 accounts .....	\$ 1,000,000	7780
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11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess .....	\$ 4,803,109	7785
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