



Notice at Collection of Personal Information Business Contacts

“Wedbush” (also referred to as “we,” “us,” and “our”) refers Wedbush Financial Services, LLC; Wedbush Securities Inc.; WAM Capital, LLC; Wedbush Asset Management, LLC; Wedbush Capital Management, LLC; Wedbush Capital; Wedbush Futures; Wedbush Securities Advanced Clearing Services and Kluis Commodity Advisors.. California residents have additional rights over the personal information (“PI”) that we collect from our business contacts. This is your “California Personal Information.” We want you to understand the categories of personal information we collect and the purposes for which the information will be used. If you would like to learn more about our Information Practices, please visit [\[Insert link to Wedbush Securities Inc. California Privacy Policy for Business Contacts.\]](#)

Categories of Personal Information We Collect	Purpose for Which the Information Will Be Used	Length of Time We Retain the Information
Identifiers, such as your name, business IP address, business address, business account name, business e-mail address, telephone number(s) and signature.	<ul style="list-style-type: none"> To market, provide and/or receive products and services to or from your organization. To comply with legal requirements. 	As required by law. Typically a minimum of six years after the conclusion of the business relationship.
Commercial information, such as records of products or services purchased or considered.	<ul style="list-style-type: none"> To market, provide and/or receive products and services to or from your organization. To comply with legal requirements. 	As required by law. Typically a minimum of six years after the conclusion of the business relationship.
Geolocation data (Wedbush.com and the Kluis Commodity Advisors Mobile App(s))	<ul style="list-style-type: none"> To provide you with financial products and services, to help you locate our offices, and to analyze traffic on our website. To detect security incidents and fraud, and to analyze activity on our websites and Mobile App(s). To support and develop Mobile Apps. 	<p>When you use our Wedbush.com website, we typically retain that information for up to 2 months.</p> <p>When you use our “Kluis” branded mobile Commodity Advisors Mobile App(s), we typically retain that</p>

Categories of Personal Information We Collect	Purpose for Which the Information Will Be Used	Length of Time We Retain the Information
		information for up to 26 months.
Audio information, such as voice recordings when you call us on a recorded line.	<ul style="list-style-type: none"> • To market, provide and/or receive products and services to or from your organization. • To maintain security and detect fraud. 	As required by law. Typically, a minimum of six years.
Visual information, such as images from security cameras in certain locations in and around our offices.	<ul style="list-style-type: none"> • To maintain the security of our premises, personnel, equipment and information. 	We retain visual information from our secure IT room for 60 days. We retain visual information regarding the entry / exit of our building in Chicago for as long as business needs require.
Professional or employment-related information, including securities licenses and certifications.	<ul style="list-style-type: none"> • To market, provide and/or receive products and services to or from your organization. • To comply with legal requirements. 	As required by law. Typically a minimum of six years after the conclusion of the business relationship.
Inferences drawn from any of the information that we collect about you and your business or organization.	<ul style="list-style-type: none"> • To market, provide and/or receive products and services to or from your organization. • To comply with legal requirements. • To maintain security and detect fraud. 	As required by law. Typically a minimum of six years after the conclusion of the business relationship.
Information regarding your electronic network activity , such as internet browsing history, usage and content of e-mails that you send to us.	<ul style="list-style-type: none"> • To advertise and market our products and services, and/or to receive products and services to or from your organization. • To monitor activities, to maintain the security of our systems, and to detect improper activity. 	When you use your credentials to log into your account, we typically retain that information for a minimum of six years. When you visit our “Wedbush” branded public websites without logging in, we typically retain that information for up to 14 months. When you use our “Kluis” branded websites

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		and mobile applications we typically retain that information for up to 26 months. When you send us e-mails, we typically retain that information for a minimum of six years; longer in archived and back up storage.
Sensitive personal information, including the log-in and financial account number for your business or organizational accounts in combination with any security or access code or password allowing access to the account.	<ul style="list-style-type: none"> • To provide a better client experience and enhanced functionality. • To service your accounts and provide financial products and services to you. • To monitor activities, to maintain the security of our systems, and to detect improper activity. 	As required by law. Typically a minimum of six years after the conclusion of the business relationship.
Commercial information regarding expenses or invoices that you ask us to pay.	<ul style="list-style-type: none"> • To pay for expenses, products and services that we obtain from you. 	Typically a minimum of four years after termination.

Information We Sell or Share

We do not sell, share or disclose to third parties any California Personal Information we collect from business contacts (including service providers and vendors), prospective business contacts in those roles.

If you have visited our public websites and have accepted our performance cookies, we have shared your IP address, the URL you came from, and your interaction with the websites with analytics providers, third-party advertising networks, and social media platforms for their use in cross-context behavioral advertising across multiple websites. Our Notice of Right to Opt-Out of Sale or Sharing is available [here](#).