



## Notice at Collection of Personal Information Clients, Prospective Clients & Website Visitors

Wedbush Securities Inc.; Wedbush Securities Advanced Clearing Services; Wedbush Futures; and Wedbush & Co., LLC; Wedbush Financial Services, LLC; Wedbush Capital Management, LLC; and Kluis Commodity Advisors (collectively, “Wedbush,” “we,” “us,” and “our”) collect personal information from and about you when you open an account with us or visit our websites, [www.Wedbush.com](http://www.Wedbush.com), [www.WedbushFutures.com](http://www.WedbushFutures.com), or [www.KluisCommodities.com](http://www.KluisCommodities.com). California residents have additional rights over their personal information (“PI”) that is not already protected by existing federal privacy laws. This is your “California Personal Information.” We want you to understand the categories of personal information we collect and the purposes for which the information will be used. If you would like to learn more about our Information Practices, please visit [\[Insert link to Wedbush Securities Inc. Privacy Policy for Clients, Prospective Clients & Website Visitors.\]](#)

Categories of Information We Collect	Purpose for Which the Information Will Be Used	Length of Time We Keep the Information
Identifiers, such as your name, address, Internet Protocol (IP) address, device identifier, e-mail address, account name, telephone number(s), signature and sensitive personal information such as social security number, driver’s license number, and passport number.	<ul style="list-style-type: none"> <li>• To service your accounts and provide financial products and services to you.</li> <li>• To comply with legal requirements.</li> <li>• To detect fraud and security incidents and analyze activity on our websites.</li> </ul>	As required by law. Typically a minimum of six years.
Commercial information, such as records of products or services purchased or considered.	<ul style="list-style-type: none"> <li>• To service your accounts and provide financial products and services to you.</li> <li>• To market our financial products and services to you.</li> </ul>	As required by law. Typically a minimum of six years.
Internet or other electronic network activity, such as your interaction with our websites,	<ul style="list-style-type: none"> <li>• To service your accounts and provide financial products and services to you.</li> </ul>	When you use your credentials to log into your account, we typically retain

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your interactions with your account(s), and e-mails you send to us.	<ul style="list-style-type: none"> <li>• To market our financial products and services to you.</li> <li>• To analyze activity on and improve our website and online functionality.</li> <li>• To detect security incidents and fraud, and to analyze activity on our website.</li> </ul>	that information for a minimum of six years. When you visit our “Wedbush” branded public websites without logging in, we typically retain that information for up to 14 months. When you use our “Kluis” branded websites and mobile applications we typically retain that information for up to 26 months. When you send us e-mails, we typically retain that information for a minimum of six years; longer in archived and back up storage.
Geolocation data (Wedbush.com and the Kluis Commodity Advisors Mobile App(s))	<ul style="list-style-type: none"> <li>• To provide you with financial products and services, to help you locate our offices, and to analyze traffic on our website.</li> <li>• To detect security incidents and fraud, and to analyze activity on our websites and Mobile App(s).</li> <li>• To support and develop Mobile Apps.</li> </ul>	When you use our Wedbush.com website, we typically retain that information for up to 2 months. When you use our “Kluis” branded mobile Commodity Advisors Mobile App(s), we typically retain that information for up to 26 months.
Audio information, such as voice recordings when you call us on a recorded line.	<ul style="list-style-type: none"> <li>• To service your accounts and provide financial products and services to you.</li> <li>• To detect security incidents and fraud, and to maintain the security of our systems and premises.</li> </ul>	As required by law. Typically, a minimum of six years.
Visual information, such as images from security cameras in certain locations in and around our offices.	<ul style="list-style-type: none"> <li>• To maintain the security of our premises.</li> </ul>	We retain visual information regarding the entry / exit of our building in Chicago as long as business needs require.

Categories of Information We Collect	Purpose for Which the Information Will Be Used	Length of Time We Keep the Information
Professional or employment-related information.	<ul style="list-style-type: none"> <li>To service your accounts and provide financial products and services to you.</li> </ul>	As required by law. Typically a minimum of six years.
Inferences drawn from any of the information that we collect about you.	<ul style="list-style-type: none"> <li>To service your accounts and provide financial products and services to you.</li> <li>To market our financial products and services to you.</li> </ul>	As required by law. Typically a minimum of six years.
Sensitive personal information, including your log-in and financial account number in combination with any security or access code or password allowing access to the account.	<ul style="list-style-type: none"> <li>To service your accounts and provide financial products and services to you.</li> </ul>	We store your online access credentials until you request deletion. We store your account number for a minimum of six years.

**Information We Sell or Share**

If you visit our public websites, we share your IP address, the URL you came from, and your interaction with the website with our analytics providers, third-party advertising networks, and social media platforms for their use in cross-context behavioral advertising across multiple websites. Except for this limited sharing, we do not sell or share any of your personal information with third parties.

California residents have the right to opt-out of the selling or sharing of personal information. You can opt out by sending us an opt-out preference signal through the privacy controls available on your web browser. We process opt-out preference signals in a frictionless manner. If you opt-out, you will still see ads but they will not be personalized based on your online activity. Our Notice of Right to Opt-Out of Sale or Sharing is available [here](#).