

# WINJAMMER FILING

## AMENDMENT

End Date:9/14/2026

Firm Name:WEDBUSH SECURITIES INC

Form:Daily Seg - FOCUS II Daily

Submit Date:9/16/2026

AMENDMENT  
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Form:Daily Seg - FOCUS II Daily  
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Daily Segregation - Cover Page

|                       |                                |
|-----------------------|--------------------------------|
| Name of Company       | <u>WEDBUSH SECURITIES INC</u>  |
| Contact Name          | <u>Kevin Wirth</u>             |
| Contact Phone Number  | <u>312-784-0475</u>            |
| Contact Email Address | <u>kevin.wirth@wedbush.com</u> |

|                                                                           |                   |
|---------------------------------------------------------------------------|-------------------|
| FCM's Customer Segregated Funds Residual Interest Target (choose one):    |                   |
| a. Minimum dollar amount: ; or                                            | <u>25,000,000</u> |
| b. Minimum percentage of customer segregated funds required:% ; or        | <u>0</u>          |
| c. Dollar amount range between:and; or                                    | <u>0 0</u>        |
| d. Percentage range of customer segregated funds required between:% and%. | <u>0 0</u>        |

|                                                                            |                  |
|----------------------------------------------------------------------------|------------------|
| FCM's Customer Secured Amount Funds Residual Interest Target (choose one): |                  |
| a. Minimum dollar amount: ; or                                             | <u>1,000,000</u> |
| b. Minimum percentage of customer secured funds required:% ; or            | <u>0</u>         |
| c. Dollar amount range between:and; or                                     | <u>0 0</u>       |
| d. Percentage range of customer secured funds required between:% and%.     | <u>0 0</u>       |

|                                                                                   |                  |
|-----------------------------------------------------------------------------------|------------------|
| FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):    |                  |
| a. Minimum dollar amount: ; or                                                    | <u>1,000,000</u> |
| b. Minimum percentage of cleared swaps customer collateral required:% ; or        | <u>0</u>         |
| c. Dollar amount range between:and; or                                            | <u>0 0</u>       |
| d. Percentage range of cleared swaps customer collateral required between:% and%. | <u>0 0</u>       |

Attach supporting documents CH

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**Daily Segregation - Secured Amounts**

## Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers

A. Cash

9,420,300 [7315]

B. Securities (at market)

0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade

144,890 [7325]

3. Exchange traded options

a. Market value of open option contracts purchased on a foreign board of trade

0 [7335]

b. Market value of open contracts granted (sold) on a foreign board of trade

0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)

9,565,190 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount

17,641 [7351]

Less: amount offset by customer owned securities

0 [7352] 17,641 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity

9,582,831 [7355]

Method (add lines 4 and 5)

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line

9,582,831 [7360]

6.

## FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks

A. Banks located in the United States

2,396,321 [7500]

B. Other banks qualified under Regulation 30.7

0 [7520] 2,396,321 [7530]

2. Securities

A. In safekeeping with banks located in the United States

0 [7540]

B. In safekeeping with other banks qualified under Regulation 30.7

0 [7560] 0 [7570]

3. Equities with registered futures commission merchants

A. Cash

10,498,920 [7580]

B. Securities

0 [7590]

C. Unrealized gain (loss) on open futures contracts

0 [7600]

D. Value of long option contracts

0 [7610]

E. Value of short option contracts

0 [7615] 10,498,920 [7620]

4. Amounts held by clearing organizations of foreign boards of trade

A. Cash

0 [7640]

B. Securities

0 [7650]

C. Amount due to (from) clearing organization - daily variation

0 [7660]

D. Value of long option contracts

0 [7670]

E. Value of short option contracts

0 [7675] 0 [7680]

5. Amounts held by members of foreign boards of trade

A. Cash

230,942 [7700]

B. Securities

0 [7710]

C. Unrealized gain (loss) on open futures contracts

0 [7720]

D. Value of long option contracts

0 [7730]

E. Value of short option contracts

0 [7735] 230,942 [7740]

6. Amounts with other depositories designated by a foreign board of trade

0 [7760]

7. Segregated funds on hand

0 [7765]

8. Total funds in separate section 30.7 accounts

13,126,183 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)

3,543,352 [7380]

10. Management Target Amount for Excess funds in separate section 30.7 accounts

1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target

2,543,352 [7785]

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**Daily Segregation - Segregation Statement**

## SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

|     |                                                                                             |                                              |
|-----|---------------------------------------------------------------------------------------------|----------------------------------------------|
| 1.  | Net ledger balance                                                                          |                                              |
|     | A. Cash                                                                                     | <u>223,102,741</u> [7010]                    |
|     | B. Securities (at market)                                                                   | <u>104,840,636</u> [7020]                    |
| 2.  | Net unrealized profit (loss) in open futures contracts traded on a contract market          | <u>470,604,580</u> [7030]                    |
| 3.  | Exchange traded options                                                                     |                                              |
|     | A. Add market value of open option contracts purchased on a contract market                 | <u>1,948,679,707</u> [7032]                  |
|     | B. Deduct market value of open option contracts granted (sold) on a contract market         | <u>-1,913,160,896</u> [7033]                 |
| 4.  | Net equity (deficit) (add lines 1, 2 and 3)                                                 | <u>834,066,768</u> [7040]                    |
| 5.  | Accounts liquidating to a deficit and accounts with debit balances - gross amount           | <u>1,090,543</u> [7045]                      |
|     | Less: amount offset by customer securities                                                  | <u>-167,551</u> [7047] <u>922,992</u> [7050] |
| 6.  | Amount required to be segregated (add lines 4 and 5)                                        | <u>834,989,760</u> [7060]                    |
|     | FUNDS IN SEGREGATED ACCOUNTS                                                                |                                              |
| 7.  | Deposited in segregated funds bank accounts                                                 |                                              |
|     | A. Cash                                                                                     | <u>314,635,737</u> [7070]                    |
|     | B. Securities representing investments of customers' funds (at market)                      | <u>0</u> [7080]                              |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <u>764,167</u> [7090]                        |
| 8.  | Margins on deposit with derivatives clearing organizations of contract markets              |                                              |
|     | A. Cash                                                                                     | <u>159,810,711</u> [7100]                    |
|     | B. Securities representing investments of customers' funds (at market)                      | <u>249,299,493</u> [7110]                    |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <u>89,155,430</u> [7120]                     |
| 9.  | Net settlement from (to) derivatives clearing organizations of contract markets             | <u>10,371,881</u> [7130]                     |
| 10. | Exchange traded options                                                                     |                                              |
|     | A. Value of open long option contracts                                                      | <u>1,948,679,707</u> [7132]                  |
|     | B. Value of open short option contracts                                                     | <u>-1,913,160,896</u> [7133]                 |
| 11. | Net equities with other FCMs                                                                |                                              |
|     | A. Net liquidating equity                                                                   | <u>0</u> [7140]                              |
|     | B. Securities representing investments of customers' funds (at market)                      | <u>0</u> [7160]                              |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <u>0</u> [7170]                              |
| 12. | Segregated funds on hand                                                                    | <u>14,921,039</u> [7150]                     |
| 13. | Total amount in segregation (add lines 7 through 12)                                        | <u>874,477,269</u> [7180]                    |
| 14. | Excess (deficiency) funds in segregation (subtract line 6 from line 13)                     | <u>39,487,509</u> [7190]                     |
| 15. | Management Target Amount for Excess funds in segregation                                    | <u>25,000,000</u> [7194]                     |
| 16. | Excess (deficiency) funds in segregation over (under) Management Target Amount              | <u>14,487,509</u> [7198]                     |
|     | Excess                                                                                      |                                              |

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**Daily Segregation - Swaps Statement**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

|                                                                                                             |                                                |
|-------------------------------------------------------------------------------------------------------------|------------------------------------------------|
| Cleared Swaps Customer Requirements                                                                         |                                                |
| 1. Net ledger balance                                                                                       |                                                |
| A. Cash                                                                                                     | <b><u>125,910,201</u></b> [8500]               |
| B. Securities (at market)                                                                                   | <b><u>0</u></b> [8510]                         |
| 2. Net unrealized profit (loss) in open cleared swaps                                                       | <b><u>0</u></b> [8520]                         |
| 3. Cleared swaps options                                                                                    |                                                |
| A. Market value of open cleared swaps option contracts purchased                                            | <b><u>25,021,738</u></b> [8530]                |
| B. Market value of open cleared swaps option contracts granted (sold)                                       | <b><u>-808,634</u></b> [8540]                  |
| 4. Net Equity (deficit) (add lines 1, 2, and 3)                                                             | <b><u>150,123,305</u></b> [8550]               |
| 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount                        | <b><u>14</u></b> [8560]                        |
| Less: amount offset by customer owned securities                                                            | <b><u>0</u></b> [8570] <b><u>14</u></b> [8580] |
| 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)                         | <b><u>150,123,319</u></b> [8590]               |
| Funds in Cleared Swaps Customer Segregated Accounts                                                         |                                                |
| 7. Deposited in cleared swaps customer segregated accounts at banks                                         |                                                |
| A. Cash                                                                                                     | <b><u>4,421,704</u></b> [8600]                 |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <b><u>0</u></b> [8610]                         |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <b><u>0</u></b> [8620]                         |
| 8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts |                                                |
| A. Cash                                                                                                     | <b><u>187,596,447</u></b> [8630]               |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <b><u>0</u></b> [8640]                         |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <b><u>0</u></b> [8650]                         |
| 9. Net settlement from (to) derivatives clearing organizations                                              | <b><u>0</u></b> [8660]                         |
| 10. Cleared swaps options                                                                                   |                                                |
| A. Value of open cleared swaps long option contracts                                                        | <b><u>25,021,738</u></b> [8670]                |
| B. Value of open cleared swaps short option contracts                                                       | <b><u>-808,634</u></b> [8680]                  |
| 11. Net equities with other FCMs                                                                            |                                                |
| A. Net liquidating equity                                                                                   | <b><u>0</u></b> [8690]                         |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <b><u>0</u></b> [8700]                         |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <b><u>0</u></b> [8710]                         |
| 12. Cleared swaps customer funds on hand                                                                    |                                                |
| A. Cash                                                                                                     | <b><u>0</u></b>                                |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <b><u>0</u></b>                                |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <b><u>0</u></b> [8715]                         |
| 13. Total amount in cleared swaps customer segregation (add lines 7 through 12)                             | <b><u>216,231,255</u></b> [8720]               |
| 14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)          | <b><u>66,107,936</u></b> [8730]                |
| 15. Management Target Amount for Excess funds in cleared swaps segregated accounts                          | <b><u>1,000,000</u></b> [8760]                 |
| 16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management         | <b><u>65,107,936</u></b> [8770]                |